

SIP & SIP-Top up Registration / Renewal (Form 2)



IDFC MUTUAL FUND

Application No.

Distributor Code	ARN- 9992	Sub-Distributor Code	ARN-	Internal Code for Sub-broker/ Employee	EUIN No.	RIA Code
EUIN Declaration	I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.				First Holder	Second Holder
RIA Declaration	*I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/ RIA*				First Holder	Second Holder

UNIT HOLDER INFORMATION

Existing Folio Number Name of the First Holder

Please Tick (✓) ☐ SIP Registration ☐ SIP with Top-up Registration ☐ SIP - Change in Bank Details

Please mention relevant SIP details below and also in the CAMS Common Mandate (CAMS OTM).

SYSTEMATIC INVESTMENT PLAN DETAILS

Name of the scheme/Plan/Option

Initial SIP Installment Amount Rs.

☐ My existing CAMS OTM registered to be used for initial & subsequent SIP instalments (mention CAMS OTM No. in the boxes)

☐ Cheque No. Bank Name

SIP	Monthly SIP Date (10 th , if no date is mentioned) (Any date except 29 th , 30 th & 31 st of the month)	SIP Period From <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> To <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="checkbox"/> SIP Top-up (Optional) (Refer J (viii)) (Please ✓ to avail this facility)
	Installment Amount (Rs.) (Please fill below CAMS OTM)	OR 1 2 2 0 9 9	Top-up Amount (Rs.) <input type="text"/> (The Top-up amount should be Rs. 500 and multiples of Rs. 500 thereafter)
			SIP Top-up Frequency: <input type="checkbox"/> Half-yearly <input type="checkbox"/> Yearly (Default Top-up option is Yearly)
		Default end date is Dec 2099	Registration for this facility is subject to the investor's bankers accepting the mandate for SIP Top-up registration.

Please specifically mention the MICR code of your bank branch in case you have a payable at par cheque book. In case of incorrect/ incomplete bank details it will be captured from attached cheque copy on a best effort basis.

Having read and understood the contents of the Statement of Additional Information (SAI) of IDFC Mutual Fund, Scheme Information Document (SID) and Key Information Memorandum (KIM) of the scheme(s) and the Addenda issued till date, I/we hereby apply for registration of Systematic Investment Plan (SIP) as indicated above and agree to abide by the terms, conditions, rules and regulations governing the Scheme(s) and the SIP. I/We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the Taxation Laws, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws as applicable to me/us from time to time. I/We confirm that the funds invested in the Scheme(s), legally belong to me / us and I / we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We am/are eligible Investor(s) as per the scheme related documents and am/are authorised to make this investment as per the Constitutive documents/ authorisation(s). I/We further confirm that I am not /we are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any judicial or regulatory authority.

In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the Mutual Fund, I / we hereby authorise the Mutual Fund, to redeem the funds invested in the Scheme(s), in favour of the applicant, at the applicable NAV prevailing on the date of such redemption subject to applicable exit load and undertake such other action with such funds that may be required by the Law.

I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the Mutual Fund or the bank responsible. I/We further undertake that any changes in my/our Bank details will be informed to the Mutual Fund immediately.

I/We hereby acknowledge and confirm that the information provided above is/are true, correct and complete to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/we shall be liable for it. I/We also undertake to keep you informed immediately in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to the Mutual Fund, its Sponsor, Asset Management Company, Trustees, their employees, agents / service providers, other SEBI registered intermediaries or any Indian or foreign governmental or statutory or judicial authorities / agencies, the tax / revenue authorities and other investigation agencies without any obligation of advising me/us of the same.

The ARN holder has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

For micro-investments only: I/We confirm that I/we do not have any other existing investment in the schemes of IDFC Mutual Fund which together with this proposed investment will result in aggregate investments exceeding Rs.50,000/- in a year.

For NRIs / PIOs / FPIs only: I / We confirm that I am / we are Non Residents Indians / Person(s) of Indian Origin / Foreign Portfolio Investors but not United States persons within the meaning of Regulation (S) under the United States Securities Act of 1933, or as defined by the U.S. Commodity Futures Trading Commission, as amended from time to time or residents of Canada, and that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR Account maintained in accordance with applicable RBI guidelines.

SIGNATURE/S AS PER
IDFC MUTUAL FUND (MANDATORY)

First / Sole Applicant / Guardian /
Authorised Signatory

Second Applicant

Third Applicant



CAMS Common Mandate (CAMS OTM)

UMRN FOR OFFICE USE ONLY Date

Sponsor Bank Code Utility Code

Tick(✓) ☒ CREATE ☐ MODIFY ☐ CANCEL I/We hereby authorize Computer Age Management Services Pvt. Ltd. to debit tick (✓) ☐ SB ☐ CA ☐ SB-NRE ☐ SB-NRO

Bank A/c number

with Bank IFSC or MICR

an amount of Rupees ₹

FREQUENCY ☒ Monthly ☒ Quarterly ☒ Half Yearly ☒ Yearly ☒ As & when presented DEBIT TYPE ☒ Fixed Amount ☒ Maximum Amount

PAN No. Mobile No. +91

Reference Email ID

I agree for the debit mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule for charges of the bank.

PERIOD

From
To
Or ☐ Until Cancelled

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

- This is to confirm the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instructions as agreed & signed by me.
- I have understood that I am authorised to cancel/amend this mandate by a appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorised the debit.



Computer Age Management Services Pvt. Ltd.

158, Rayala Towers, Tower 1, Anna Salai, Chennai - 600 002

Investor Consent

First Investor PAN: _____ Investor Name: _____
Bank Account No. _____ Bank Name: _____

Common Mandate (CM) through Automated Clearing House facility (ACH)

Computer Age Management Services Private Limited ("CAMS") offers the facility of common mandate lodgment cum repository to facilitate investments in various participating MF schemes, which will enable the investor to invest seamlessly by writing a 'onetime-Common ACH Mandate' (for a reasonably maximum amount and period as deemed fit by the investor and in favour of CAMS as common beneficiary on behalf of the participating AMCs) in the prescribed form. The investor shall effectively utilize this common mandate tenor, whereby he or she can direct the AMCs to use the mandate to collect the applicable amount on the intended date of his adhoc / periodical / fresh investments as decided by the investor.

I/We as investor(s) confirm that I/We have understood the terms, conditions, tenants and consents as contained in mandate herein above and overleaf and fully agree to abide by them, by duly signing herein below.

I/We hereby authorize CAMS and its service provider to lodge the attached mandate with the Bank as contained in the mandate, through NPCI under ACH procedures and to initiate debits of the appropriate amounts advised by respective participating AMC RTA units for collection as per mandate from time to time through the ACH facility. I agree for the debit mandate processing charges by the bank and I am authorizing to debit my account as per the latest schedule of charges of the bank. I agree to comply with all other common terms and conditions of the arrangement which may be amended or introduced by CAMS any time or from time to time and be bound by the same.

Signature of holder(s)

1. _____ 2. _____ 3. _____

Date: _____ Place: _____

For instruction to fill the Mandate, please refer to page 74

TERMS AND CONDITIONS :

1) M/s. Computer Age Management Services Pvt. Ltd (CAMS) can register the attached 'common mandate' to facilitate collection of payments towards investments in Mutual Funds as directed by the investor to RTA. 2) The facility is made available by CAMS to investors of participating Mutual funds who subscribe to use this common mandate for their intended investment collections only. 3) The Scheme Information Document (SID), Scheme Additional Information (SAI) and Key Information Memorandum, all Instructions, Addenda issued from time to time, as available on the participating Mutual Funds' website, Risk Factors, Loads and all other information related to various requirements of the respective Scheme/Plan shall be understood and referred to before providing the mandate by the investor. It is deemed that the investor agrees to the terms and conditions of the respective chosen scheme as stipulated by AMC from time to time. 4) CAMS shall map this mandate, after due acceptance from investor's bank, as one of the authorised payment modes in the RTA folio records for all investments in participating mutual funds and the above mapping shall be purely based on automatic matching of PAN no mentioned in the attached mandate with the first holder's PAN (Guardian's PAN for Minors) in investor's folios in participating AMCs. 5) CAMS, directly as or through its appointed aggregator (service provider) shall arrange to lodge only the duly valid Mandate with investor's bank under Automated Clearing House (ACH) Rules. 6) Any charges payable by the investor to his/her bank for registering and honoring this mandate will not be borne by CAMS and for the same to be debited to bank account, the mandate contains necessary authorization. 7) CAMS or its aggregator shall also facilitate collection of periodical or adhoc payments due from the Investor, (either for fresh purchase or additional purchase or SIP investments as the case may be) as per duly lodged and accepted mandate terms. This will be as per specific instructions received from participating AMCs for the desired adhoc / periodical transactions instructions issued/conveyed to them directly by the investor through various permitted modes. 8) CAMS shall ensure that under any circumstances, the funds will not be used other than for the intended purpose and CAMS shall not have any title or ownership over the proceeds except for the interim period pending pass through to the intended AMC. 9) CAMS shall be bound by rules and procedures prescribed by NPCI from time to time for this ACH Debit mandated collection services operated by them. 10) The investor cannot hold the Mutual Fund, CAMS or the aggregator responsible for any loss, if mandate is rejected or any of its transaction is delayed or not effected at all for reasons of incomplete or incorrect information or due to any reason at or of the investor bank. 11) CAMS will not be responsible or liable for nor be in default for reasons of any failure or delay in ensuring obligations under this facility, where such failure or delay is caused, in whole or in part, by any force majeure event (including network failures) which are beyond the reasonable control of CAMS. 12) The investor clearly understands that this common ACH mandate provides for multiple debits on same settlement date to pay various underlying transactions for values, each of which shall be less or equal to the maximum amount specified in the relevant mandate. Accordingly, the investor shall ensure that the intended bank account remains funded well in advance of any given date to meet all his adhoc/periodical commitments for transactions under the relevant mandates. Investor shall note that his bank may charge service fees for any dishonor. 13) CAMS as RTA or in the capacity of ACH service aggregator shall not be able to and shall not exercise any preference of one investment over the other and shall not be able to ensure its sequential order in its processes especially when the mandate is sought to used simultaneously by multiple participating AMCs for the same day's collection. All the intended transactions will be presented on best efforts basis if received by and within the timelines agreed by the service provider and the decision of the investor's bank will be final in acceptance or rejection of any or all transaction. 14) CAMS shall recover any wrongful credits afforded in investor's folios and for which notice/information is hereby waived. 15) The investor clearly understands that debit of funds for transactions using CAMS Open Mandate form will be subject to normal processing time taken for clearing such transactions through the ACH platform and in accordance with NPCI guidelines.